

## **IIFL Fixed Maturity Plan - Series 11**

(Close ended Income Scheme) (Maturity: 1156 days)

#### **KEY INFORMATION MEMORANDUM**

#### The product is suitable for investors who are seeking\*

- Regular Income over 1156 days (Tenure of the plan)
- Investments in Debt/ Money market instruments/ Government securities
- \*Investors should consult their financial advisors if in doubt about whether the product is suitable for them Risk is represented in following colours:

Offer of Units at Rs. 10/- per unit during the New Fund Offer Period.

#### New Fund Offer Opens on: February 2, 2016

New Fund Offer Closes on: February 9, 2016 This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before

investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www. iiflmf.com

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

It is to be distinctly understood that the permission given by National Stock Exchange of India Limited (NSE) should not in any way be deemed or construed that the Scheme Information Document has been cleared or approved by NSE nor does it certify the correctness or completeness of any of the contents of the Draft Scheme Information Document. The investors are advised to refer to the Scheme Information Document for the full text of the 'Disclaimer Clause of NSF'. The KIM is dated: January 21, 2016.

Name of Mutual Fund	Name of Asset Management Company	Name of Trustee company
IIFL Mutual Fund	IIFL Asset Management Ltd.	IIFL Trustee Ltd
IIFL Centre, 6th floor, Kamala City,	(Formerly known as India Infoline Asset Management Co	b. Ltd.) (Formerly known as India Infoline Trustee Company Ltd)
Senapati Bapat Marg, Lower Parel, Mumbai 400 013	Regd. Office: IIFL Centre, 6th floor, Kamala City Senapati Bapat Marg, Lower Parel, Mumbai 400 (	
N. fil.s		N. Grid B. Cri

Name of the Sponsor	Name of the Registrar
IIFL Wealth Management Limited <b>Regd. Office:</b> IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604	Computer Age Management Services Pvt. Ltd. (CAMS)  Regd. Office: No.178 (New No.10) M.G.R. Salai (formerly Known as  Kodambakkam High Road), Nungambakkam, Chennai - 600 034

#### Name of the Scheme: IIFL Fixed Maturity Plan - Series 11

#### Type of the Scheme: Close ended Income Scheme

#### **Investment Objective:**

The investment objective of the Scheme is to generate returns through investments in debt and money market instruments, maturing on or before maturity of the scheme. There is no assurance that the investment objective of the Scheme will be achieved.

#### Asset Allocation Pattern of the Scheme:

The investment policies of each Scheme shall be as per SEBI (Mutual Funds) Regulations, 1996, and within the following guidelines. Under normal market circumstances, the investment range would be as follows:

Instruments	Indicativ (% of	Risk Profile	
Domestic Debt instruments including Government	Minimum	Maximum	Low to Medium
Securities, Corporate NCDs.	80%	100%	
Money Market Instruments	0%	20%	Low

In case of deviation the portfolio would be rebalanced. The said re-balancing would be done within 30 days from the date of deviation. The total gross exposure investment in debt & money market instruments shall not exceed 100% of net assets of the Schemes. The total exposure of the Scheme in a particular sector, based on AMFI classification (excluding investments in Bank CDs, CBLO, Government Securities, Treasury Bills and AAA rated securities issued by Public Financial Institutions and Public Sector Banks) shall not exceed 30% of the net assets of the Scheme. An additional exposure to financial services sector (over and above the existing 30% limit) not exceeding 10% of the net assets of the scheme may be made by way of investments in AA or higher rated securities of Housing Finance Companies (HFC's) registered with National Housing Bank (NHB). However, the total investment in HFC's shall not exceed

30% of the net assets of the scheme.

The Scheme would not invest in foreign securities and would not participate in repo transaction of Corporate Debt Securities.

Intended allocation against each sub asset class/credit ratings will be as per below matrix:

Instruments/ Credit rating	A1+*	AAA**	AA**	Α	BBB	Un- rated	Rating Not applicable
NCD	-	-	30%- 35%	30%- 35%	20%- 25%	20%- 25%	
CPs	0-5%	-	-				
CDs	0-5%	-	-				
T-Bills, Repos, GSec with residual maturity up to1 year, CBLO	-	-	-				

## \* for short-term instruments \*\* for long-term debt instruments

- a) In case of Certificates of Deposits and Commercial Paper, the Scheme will invest in the
- a) In case of Certificates of Deposits and Commercial Paper, the Scheme will invest in the instruments with the highest credit rating i.e. A1+.
  b) Each of the rating categories such as AA would also include ratings with '+' and '.'
  i.e. AA rating will include AA+ and AA- as well. The scheme will invest in securities with rating BBB and above (Including ratings with + & -) and investment in unrated securities with prior approval of Board of AMC and Trustee.
  c) There will not be any variation between intended allocation and actual allocation expect in following sector:
- except in following cases:
- In case of credit ratings of sub assets class, there could be a possibility of positive variation in investments towards higher credit rating of the same instruments. At the time of building up the portfolio post NFO and towards the maturity of
- the Scheme, there may be a higher allocation to cash & cash equivalent.
- In case of non availability of CPs / NCDs or after taking into consideration of risk factors associated with CPs/ NCDs, the Scheme may invest into CDs of highest credit rating (A1+)/CBLO/T-bills.
- d) If there is any deviation from floor and ceiling of the credit ratings specified for instruments, the same will be rebalanced within 30 days from the date of deviation. Such changes in the investment pattern will be for a short term and defensive consideration and the intention being at all times to protect the interest of the unitholder
- e) All the investments will be based on the credit ratings available / prevalent at the

time of the investments

- In case, there is dual credit ratings available for the same instruments, the most conservative rating publicly available at the time of investments will be taken into consideration at the time of investments.
- g) The Scheme may invest not more than 10% of its net asset in unrated debt instruments issued by a single issuer and the total investment in such instruments will not exceed 25% of the net assets of the Scheme.

  Investment Strategy: The Scheme would endeavor to generate returns through investments in debt and money market instruments, maturing on robefore maturity of the compact that the investment of the control of the c

of the scheme. However, there is no assurance that the investment objective of the Scheme will be achieved. The investment strategy of the scheme is to invest into scheme will be achieved. The investment strategy of the scheme is to invest into various debt and money market securities maturing on or before the maturity of the scheme. All investments made by the Scheme will be made in accordance with SEBI (Mutual Fund) Regulations, 1996, as amended from time to time The AMC will follow a structured investment process in order to identify the best securities for investment and has developed an internal research framework for consistently examining all securities. The aim of the Investment Manager will be to allocate the assets of the Scheme between various money market and fixed income securities with the objective of schizing noting noting lettures with high could read in come securities. of achieving optimal returns with high credit quality portfolio. The actual percentage of investment in various fixed income securities will be decided after considering the prevailing market conditions, the macroeconomic environment(including interest rates and inflation), the performance of the corporate sector and general liquidity and other considerations in the economy and market.

Risk Profile of the Scheme: (Please refer SID for complete Risk factors.)

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:

#### Risks associated with investing in Debt and money market instruments:

Interest Rate/Price risk: This risk is associated with movements in interest rate, which depend on various factors such as government borrowing, inflation, economic performance etc. The values of investments will appreciate/depreciate if the interest rates fall/rise. Generally, when interest rates rise, prices of fixed income securities fall and when interest rates drop, the prices generally increase. The extent of fall or rise in the prices depends upon factors such as coupon, residual maturity of the security, micro and macroeconomic scenario as well as the yield level at which the security is being traded.

Credit Risk/Default risk: Credit risk is the risk that the issuer of a debenture/ bond or a money market instrument may default on interest &/or principal payment obligations This risk arises due to any uncertainty in counterparty's ability or willingness to meet its contractual obligations. Re-investment Risk: Investments in fixed income securities may carry re-investment risk as interest rates prevailing on the interest or maturity due dates may differ from the original coupon of the bond. Consequently, the proceeds may get invested at a lower rate.

may get invested at a lower rate. Spread Risk: Corporate Bonds are exposed to the risk of widening of the spread between corporate bonds and gilts. Prices of corporate bonds tend to fall if this spread widens which will affect the NAV of the Scheme accordingly. Counterparty Risk: This is the risk of failure of counterparty to the transaction to deliver securities against consideration received or to pay consideration against securities delivered, in full or in part or as per the agreed specification. There could be losses to the scheme in case of counterparty default.

Inflation risk: Inflation, in most basic terms, erodes the purchasing power of money and also withers the value of existing investments; in other words, it reduces the purchasing power of a bond investor's future interest payments and principal, collectively known as "cash flows." Inflation also leads to higher interest rates, which in turn leads to lower bond prices.

Liquidity risk: The liquidity of a bond may change depending on market conditions leading to changes in the liquidity premium linked to the price of the bond. At the time of selling the security, the security can become illiquid leading to loss in the value of the

Risk of Rating Migration It may be noted that the price of a rated security would be impacted with the change in rating and hence, there is risk associated with such migration. Reduction in rating grade may affect the yields of a particular instrument in which Schemes has invested.

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#### Declaration on indicative portfolio and indicative yield:

a) Mutual Fund/AMC/its empanelled Distributors has not given and shall not give any indicative portfolio and/ or indicative yield in any communication, in any manne whatsoever to any person and b) Investors are advised not to rely on any communication regarding indicative portfolio and/ or indicative yield with regards to the Scheme

#### **Risk Mitigation Measures:**

Investment by the Scheme would be made as per the investment objective of the Scheme and in accordance with SEBI Regulations. AMC has adequate safeguards to manage risk in the portfolio construction process. Risk control would involve managing risk in order to keep in line with the investment objective of the Scheme. The risk control process would include identifying the risk and taking proper measures for the same. Further, AMC has put in place a Front Office System for managing risk. The system has incorporated all the investment restrictions as per the SEBI guidelines and enables identifying and measuring the risk through various risk management tools like various portfolio analytics, risk ratios, average duration and analyses the same and acts in a preventive manner.

The portfolio of Scheme will comprise of securities issued by central and state government as also debt & money market instruments issued by corporates. All such securities will normally mature on or before maturity of the scheme. As the securities are normally held to maturity, the nterest rate risk is significantly mitigated. The debt securities issued by the corporates do carry a credit risk as also the liquidity risk, however the investments team will endeavor to choose securities for the scheme portfolio, which have better liquidity in the markets and are sound

**Dematerialization of units:** Unit holders are given an option to hold the units in demat form, in addition to account statement (physical form).

#### **Listing/Liquidity:**

The Units cannot be redeemed by the investors directly with the Mutual Fund until the maturity of the Scheme

However, investors who wish to exit/redeem before the maturity date may do so through stock exchange mode, if they have opted to hold Units in a demat form, by mentioning their demat details on the NFO application form. The units of the scheme will be listed and available for trading on NSE and investors can buy/sell units of the scheme in lot of 1 unit & in

#### Plans and Options:

PILAN: Regular Plan and Direct Plan
OPTION: The Scheme has the following Options under each of the above plans: Growth and Dividend Payout

Dividend will be declared subject to availability and adequacy of distributable surplus. Default Plan / Option: If the applicant does not indicate the choice of Plan / Option in the Application Form, the Fund accepts the application as being for Direct Plan / Growth Option. The Regular Plan and Direct Plan including all options there under will have a common portfolio of investments. If the application is received incomplete with respect to not selecting Regular/Direct Plan, the application will be processed as under:

Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
Not mentioned	Not mentioned	Direct Plan
Not mentioned	Direct	Direct Plan
Not mentioned	Regular	Direct Plan
Mentioned	Direct	Direct Plan
Direct	Not Mentioned	Direct Plan
Direct	Regular	Direct Plan
Mentioned	Regular	Regular Plan
Mentioned	Not Mentioned	Regular Plan

In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.

**Minimum Application Amount/No of Units:** First time Purchase – INR 5000/- and in multiples of INR 1/- thereafter.

#### Application Supported by Blocked Amount (ASBA):

During the NFO, investors can also apply through the Application Supported by Block Accounts (ASBA) process. The investor has to fill the ASBA form and submitting the same to their respective banks, which in turn will block the amount in the account as per the authority contained in the ASBA form, and undertake other tasks as per the procedure specified therein.

Certain selected Self Certified Syndicate Banks (SCSBs), who are registered with SEBI can provide ASBA facility. Investors are requested to check with their respective banks about the availability of the ASBA facility. Investors are requested to refer to the website of SEBI, NSE and BSE at www.sebi.gov.in, www.bseindia.com and www.nseindia.com for the complete list of carefulliar (Acceptables) (Aspendence of the own practical SCGBs).

## list of controlling/ designated branches of above mentioned SCSBs. **Benchmark Index:** Crisil Composite Bond Fund Index

The Scheme intends to have a portfolio mix of instruments, which are mainly captured by Crisil Composite Bond Fund Index. Hence, the benchmark is an appropriate benchmark for the Scheme. The Trustee reserves right to change benchmark in future for measuring performance of the Scheme.

Dividend Policy: the scheme offers two returns options, namely Growth and Dividend. Kindly refer to plans and options above.

Name of Fund Manager: Mr. Amey Mashilkar

Name of Trustee Company: IIFL Trustee Ltd. (Formerly known as India Infoline Trustee Company Ltd.)

#### Performance of the Scheme:

ne Scheme is a new Scheme and does not have any performance track record. Expenses of the Scheme:

1. New Fund offer expenses: All initial issue expenses shall be borne by the AMC. 2.Load Structure Entry Load: Not Applicable • Exit Load: Nil

#### 3.Recurring Expenses

The AMC has estimated the following annual recurring expenses on daily net assets of the Scheme. For the actual current expenses being charged, the investor should refer to the website.

Particulars	(% of Daily Net Assets)
Investment Management and Advisory Fees, Trustee fee, Audit fees, Custodian fees, RTA Fees, Marketing & Selling expense incl. agent commission, Cost related to investor communications, Cost of fund transfer from location to location, Cost of providing account statements & dividend redemption cheques & warrants, Costs of statutory Advertisements, Cost towards investor education & awareness (at least 2 bps), Brokerage & transaction cost over and above 12 bps and 5 bps for cash and derivative market trades respectively, Service tax on expenses other than investment and advisory fees, Service tax on brokerage and transaction cost	Up to 2.25%
Maximum total expense ratio (TER) permissible under Regulation 52 (6)(c)(l) & (6) (a)	Up to 2.25%
Additional expenses for gross new inflows from specified cities	Up to 0.30%

These estimates of Investment Management Fees and Expenses have been made in good faith as per the information available to the Investment Manager and are subject to change inter-se, which may be more or less than estimated above. Any expenditure in excess of the said prescribed limit shall be borne by the AMC

\*In addition to above mentioned limits, the Service tax payable on investment and advisory service fees ('AMC Fees') charged by IIFL Asset Management Limited (IIFLAMC) will be charged to the Scheme.

- $^{\smallfrown}$  Expenses not exceeding of 0.30 % Of daily net assets, if the new inflows from such cities as specified by the SEBI, from time to time are at least :
- •30 per cent of the gross new inflows into the scheme, or:
- •15 per cent of the average assets under management (year to date) of the scheme, whichever is higher,

Provided that if inflows from such cities are less than the higher of the above, such expenses on daily net assets of the scheme shall be charged on proportionate basis. Provided further that expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from such cities. Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.

\*Atleast 10 % of the TER will be charged towards distribution expenses/ commission in the Regular option. The TER of the Direct option will be lower to the extent of the above mentioned distribution expenses/ commission (atleast 10 % of TER) which is charged in the Regular option. For example, in the event that the TER of the Regular option is 0.50 % p.a., the TER of the Direct option would not exceed 0.45% p.a.

Actual Expenses for the previous financial year: Not Applicable being a new scheme

Transaction charge: In case of purchases/subscriptions/new inflows only (lump sum and SIP), of Rs.10,000/ – and above per subscription; transaction charge shall be levied and be paid to the distributors/ brokers (who have opted in for charging the transaction charge based on type of product) in respect of applications routed through them, subject to the following:

• For Existing/ New investors: Rs.100/ Rs.150 as applicable per subscription of

- Rs.10,000/- and above
- Transaction charge for SIP shall be applicable only if the total commitment through SIP amounts to Rs.10,000/– and above. In such cases the transaction charge would be recovered in maximum 3/4 successful installments.

The Transaction Charge as mentioned above shall be deducted by AMC from the subscription amount of the unit holder and paid to the distributor. The balance amount shall, be invested in the Scheme

#### Waiver of load for direct applications: Not applicable.

#### Tax treatment for the Investors (Unitholders)

Investor is advised to refer to the details in the Statement of Additional Information and also independently refer to his/her tax advisor.

#### Daily Net Asset Value (NAV) Publication:

The NAV will be declared on all business days and will be communicated to two newspapers. NAV can also be viewed on www.iiflmf.com and also on AMFI website www.amfiindia.com.

#### For Investor Grievances please contact

#### Name and address of Registrar:

Computer Age Management Services Pvt. Ltd. (CAMS)
Regd. Office: No.178 (New No.10) M.G.R. Salai (formerly Known as Kodambakkam High Road), Nungambakkam, Chennai - 600 034

#### Contact Person Name, Address, Telephone No.,

#### Fax No., E-mail ID at IIFL Mutual Fund

Chandan Bhatnagar

IIFL Asset Management Ltd. (Formerly known as India Infoline Asset Management Co. Ltd.) Centre, 6th floor, Kamala City, S.B. Marg, Lower Parel, Mumbai – 400 013 Tel (91 22) 4249 9000 Fax: (91 22) 2495 4310 • Email: service@iiflmf.com

Investors are advised to contact any of the ISCs or the AMC by calling the toll free no. 1800-200-2267. Investors can also visit the website at www.iiflmf.com for complete details

#### Unit Holder's Information:

Account Statements: Account Statement will be sent to Investors opting to subscribe to/hold units in physical form (i) by way of an e-mail and/or an sms to their registered e-mail address and or mobile number not later than 5 Business Days from the date of acceptance of the request for subscription, and (ii) a 'Consolidated Account Statement (CAS)' (to investors whose PAN details are updated). For investors who hold Units in dematerialized form, a demat statement shall be provided by the DP in such form and in such manner and at such time as provided in the agreement with the

Annual Financial Results and Half Yearly Portfolio: IIFLAMC within one month from the close of each half year (i.e. 31st March and 30th September) host a soft copy of unaudited financial results of schemes of IIFL Mutual Fund on its website and shall publish an advertisement disclosing the hosting of such financial results on its website, in atleast one English daily newspaper having nationwide circulation and in a newspaper having wide circulation on in the language of the region where the Head Office of the Mutual Fund is situation. The Fund shall every month disclose portfolio of the Scheme as on the last day of the month on its website viz. www.iiflmf.com, on or before the tenth day of the succeeding month.



# IIFL Fixed Maturity Plan - Series 11 (Close ended Income Scheme) (Maturity: 1156 days)

#### **APPLICATION FORM**

Please read the instructions before fi	9 11			, A	Application No.
1. DISTRIBUTOR INFORMATION				11 20 2 2 2	
Distributor Name & ARN No.	S	ub-Broker Code	Employee Un	ique Identification No.*	Date & Time of Receipt
*Purpose of EUIN is to capture the identife "Execution only" or "Advisory". However,					vestor, irrespective of whether the transaction is ank and sign the following declaration;
"I/We hereby confirm that the EUIN box h	as been intentionally	left blank by me/us as this tr	ansaction is executed	without any interaction or ad	vice by the employee/relationship manager/sales
person of the above distributor/sub broker	or notwithstanding th	ne advice of in-appropriatene I	ess, if any, provided by t	he employee/relationship mar	ager/sales person of the distributor/sub broker."
First/ Sole Applicant/ Guar	dian	Seco	and Applicant	<i></i>	Third Applicant
				sessment of various factors in	cluding the service rendered by the distributor.
2. TRANSACTION CHARGES FOR	APPLICATIONS T	HROUGH DISTRIBUTOR	S/ AGENTS ONLY		
In case the subscription amount is Rs. 1	0.000/- or more and	the Distributor has opted	d to receive Transacti	on charges Rs.150/- (for fir	st time Mutual Fund investor) or Rs.100/- (for
investor other than first time Mutual Fu invested. Investors are advised to confirn					its will be issued against the balance amount
					Transaction Charges shall be accordingly deducted)
3. EXISTING UNITHOLDERS DETA	AILS (Please note the	at the applicant details and	mode of holding will	be as per the existing Folio I	Number) [Refer Instructions]
Existing Folio No.	Name	of Sole/ First Unit Holder			
In case of Applicant(s) who already have	e a Folio in IIFL Muti	ual Fund, they can provide	their folio number a	& first holder name in Section	on (3) and proceed to Section (6) of the Form.
4. NEW APPLICANT'S DETAILS (Ple	ease fill in BLOCK LET				ank between two words)
NAME OF FIRST / SOLE APPLICANT	Mr. Ms	[Note: No Joint holdin	g permitted in case of	minor applicant]	
Date of Birth (Mandatory for Minor Appli	cant - *Enclose Supp	orting Document)	M M Y Y	Y Y PAN	
Guardian (Mandatory for Minor Applicant)	1				
	PAN I	Relations	hin with Minor Applica	nt 🗆 Father 🗆 Mother 🗀 Lo	gal Guardian [Note: *Enclose Supporting Document]
			mp with willion Applical	Tautici   Iwoulei   Le	gar sauraian [note. Enclose supporting Document]
FIRST/ SOLE APPLICANT OTHER DETAILS a. Status of First/ Sole Applicant [Pleas	*	ual Docidont Individual D	NDI Domotrictica	NDI Non Ponotriction -	inor through guardian
					nor through guardian // Club □ Foreign National Resident in India
☐ QFI ☐ FPI ☐ Sole Proprietorship ☐ No					ry Owner(s) (Attached Mandatory UBO Form)
b. Occupation Details [Please tick (✓)]					
Service Private Sector Public Sector	Government Serv	ice 🗌 Student 🗌 Profession	nal 🔲 Housewife 🔲 B	usiness Retired Agricult	ure Proprietorship Others
c. Gross Annual Income (Rs.) [Please tie	<b>:k (√)]</b>	ac	acs	]>25 Lacs - 1 Crore	ore OR
Net-worth (Mandatory for Non-Individu			M M Y Y	Y Y (Not older than	
d. Politically Exposed Person (PEF	P) Status (Also app	licable for authorised signat	ories/ Promoters/ Karta	a/ Trustee/ Whole time Directo	ors)
☐ I am PEP ☐ I am Related to PEP [	Not Applicable				
e. Non-Individual Investors involv					
Foreign Exchange/ Money Change	r Services 🔲 Gami	ing/ Gambling/ Lottery/ (	Lasino Services M	loney Lending/ Pawning L	None of the above
Address of First/ Sole Applicant [P.O. E	ox Address is not suff	icient]			
				L	A N D M A R K
City	State		Country		Pin Code
OVERSEAS ADDRESS (in case the First Applie	cant is NRI/FII/PIO) [P.C	). Box Address is not sufficien	t] {Refer Instructions}		
	1- 1		1		1
City	State		Country		Zip Code
CONTACT DETAILS OF FIRST/ SOLE	APPLICANT (Please	ensure that you fill in th	e contact details for		
Name Phone (P)	Mahila			Phone (O)	undates via SMS on my makila (Plazza A
Phone (R)	Mobile e-mail	I M	BLOCK	<del>_</del>	updates via SMS on my mobile (Please √)
Fax  [/We wish to receive the following document		f e-mail document(s) [Please		ent Newsletter Annual I	Report All Statutory Returns / Information
MODE OF HOLDING (Please √) Single		ither/ Anyone or Survivor ([			An Statutory neturns, information
ose of fiscoure (ficuse 1) singl	. Jointay [] El	.a.c., ranyonic or burvivor (L	cause option . John	,	
NAME OF THE SECOND APPLICANT					
Date of Birth DDMMYYYY	PAN			•	er are enclosed to your Application Form
a. Occupation Details [Please tick (✓) ☐			vernment Service S	tudent Professional Ho	usewife Business Retired Agriculture
	Proprietorship (		40. 25:		6 OD N
b. Gross Annual Income ₹ ☐ Belo					
c. Politically Exposed Person (PEF ☐ I am PEP ☐ I am Related to PEP		icable for authorised signate	ories/ Promoters/ Karta	n/ Irustee/ Whole time Directo	rs) continued overleaf
	— — — — -		<b>*</b>		continued overleat
ACKNO	WLEDGMENT	SLIP (To be filled i	n by the Appl	icant) IIFL	Fixed Maturity Plan - Series 11
Asset Management			ARN No:	The second secon	plication No.
Received from			_		
Cheque/ DD/ RTGS/ NEFT No.			Dated:	D M M Y Y Y Y	
Drawn on Bank & Branch			Duteu.		
Scheme/ Plan/ Option/ Sub-Option					Signature, Stamp & Date

NAME OF THE THIRD  Date of Birth	M M Y Y Y Y	PAN PAN		Kindly ensure that (	Copy of PAN	& KYC Ackno	owledgement Letter	are enclosed to you	ır Application Form
a. Occupation Details		Service Private Se		Government S	ervice St	udent 🗌 Pro	ofessional  House	wife Business [	Retired Agriculture
o. Gross Annual I	ncome ₹ 🗌 Belov			acs 🗌 10 - 25 L	acs 🗆 >2	5 Lacs - 1	Crore □>1 Cr	ore OR Net wo	rth ₹
	sed Person (PEP) n Related to PEP		icable for authorised	l signatories/ Prom	oters/ Karta/	Trustee/ Wh	nole time Directors)		
4. FATCA and C	RS DETAILS For Inc	dividuals/HUF (Ma	ndatory) Non Indi	vidual investors s	should mar	ndatorily fil	l separate FATCA	CRS details forn	1
Sole/F	irst Applicant/Gu	ardian	2nd	Applicant				Brd Applicant	□ POA
Place & Country	of Birth PLACE	COUNTRY	Place & Country	y of Birth PLA	ACE (	COUNTRY	Place & Count	ry of Birth PL	ACE COUNTRY
			1						fication type eg. TIN etc.
Country#	Tax Identification Number	Identification Type	Country#	Tax Identificati Number	011	ification Type	Country#	Tax Identifica Number	tion Identification Type
1			1				1		
2			2				2		
3			3				3		
5. BANK ACCO	DUNT DETAILS (Mai	ndatory) [Refer Ir	nstructions] (Det	ails of bank account	: in which red	demption, div	vidend or other paym	ents to be credited	.)
ank Name Do not abbreviate) Account No.					Branch	ı / City 🔼			
in Code	Account Tv	ne (Please 1) For Res	idents Savings	Current For N	on-Resident [	□ NRO □	NRE Others		
AICR Code*	Account ly	RTGS/ NEFT / IFSC			on nesident [		TAKE OTHERS	/IESC/ NEET	————————————————————————————————————
lease also provide a ca	ancelled cheque leaf of	the same bank acco	unt as mentioned ab	ove incase the ban	k account de	tails differ fr	om investment ban	k account details g	iven in Section (6).
	ll not be held respons						·	accurate. [* indic	ates - Mandatory]
	DETAILS (Mandator	y) [Refer Instruct	ions] (Details of a	count from which i	nvestment ha	is been done	·		
l) Investment Amount*		(	II) DD Charges				Net Amount (I)+(II)		
Mode of Payment (Please	e √) ☐ Cheque ☐	DD RTGS	□ NEFT □ EC	S Funds Tra	nsfer *C	heque / DD /	RTGS / NEFT No.		
Account Type (Please √)	Savings	Current NRE	□ NRO □ FCN	IR NRSR			Dated	D D M	M Y Y Y
Payment from Bank A/c. No.				Nai	me of 1st Ban	k A/c holder			
Orawn on Bank				Nar	ne of 2nd Ban	k A/c holder			
Branch & City				Nar	ne of 3rd Banl	c A/c holder			
 Third Party Pavment 「	No Yes (If YES the	en please attach 'Third	Party Declaration Forn	n' as available on our	website www	v.iiflmf.com)			
Please enclose relev		ndicated below a	s per the Mode of	Payment: (Pleas	e √) RTGS /	NEFT/EC			o the Bank from the Un k/Bank Statement
nstruments favouring		e A/c. First Investor I	Name" OR "Name o	f the Scheme A/c. F	Permanent A	ccount Nun	nber" OR "Name of	the Scheme A/c. F	urged to make the Paymer Folio Number" and the sam
7. INVESTMEN	NT DETAILS (Please				e there is o	only one cl	าeque/DD per ap	plication form	
IIFL Fixed Maturity Pla	an - Series 11	☐ Direct ☐ Gi ☐ Regular ☐ Di	rowth (Default Grov vidend Payout	vth)					
						For invest	— — — — ment related enqu	iries, Investor Grie	
vÅy V	7 JUNE 10	Autual Eund				IIFL Mutu		Contro 6th Fl-	or Kamala City



IIFL Mutual Fund IIFL Centre, 6th Floor, Kamala City, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013

Mr.Chandan Bhatnagar, IIFL Centre, 6th Floor, Kamala City, S. B. Marg, Lower Parel, Mumbai - 400 013 Tel.: (91 22) 4249 9000 Fax: (91 22) 2495 4310 Toll Free: 18002002267 Email: service@iiflmf.com • Website: www.iiflmf.com

8. NOMINATION (Please √ and confirm the option selected) (Not app					
I/We do hereby nominate the undermentioned Nominee to receive the Units allotted to my/our cree and Signature of the Nominee acknowledging receipt thereof, shall be valid discharge by the AMC/	Mutual	Fund/ Trustees. In case	of units held in dem	at mode, the nomination unde	er demat account will be considered.
NOMINEE'S NAME  Mr.  Ms			1	Date of Birth (in case of minor)	D M M Y Y Y Y
NAME OF PARENT/ LEGAL GUARDIAN (in case of minor)				(III Case of million)	
Address of Nominee/ Guardian					
, about 30 of Normally 30 months					
City Pin Coc	de			Specimen Sign	nature of Nominee / Guardian
☐ I/We do not wish to nominate a nominee in my/our folio.					
For more than one nominee, please use nomination form.					
Signature	of 1s	t Unit Holder	Signature	of 2nd Unit Holder	Signature of 3rd Unit Holder
9. DOCUMENTS ENCLOSED (Please √)					
☐ MOA & AOA ☐ Trust Deed ☐ Bye-Laws ☐ Partnership Deed ☐ Resolution/ Ar	uthoris	sation to invest	List of Authoris	ed Signatories with Speci	imen Signatue(s)
10. Demat Account Details (Optional) (Refer instructions)					
NSDL				CDSL	
DP Name:		DP Name:			
DP ID*: I N Beneficiary Account No.		Beneficiary Account No.			
The Applicant may note that incase the DP ID, Client ID and PAN Number mentioned in the Form do	not mat	ch with DP ID, Client II	D and PAN Number	disclosed in Depository Data I	Base the Application is liable to be rejected.
11. DECLARATION AND SIGNATURES					
I/We have read and understood the contents of the Scheme Information Document/s to the Scheme(s) including the sections on "Prevention of Money Laundering and Know Your Customers". I/We hereby apply to the Trustees of the IIFL Mutual Fund (the Mutual Fund) for units of the Scheme(s) as indicated above ["the Scheme"] and agree to abide by the terms and conditions, of the Scheme and such other scheme(s) of the Mutual Fund (Scheme(s)] into which my/our investment may be moved pursuant to any instruction received from me/us to sweep/switch the units as applicable to my/our investment including any further transaction under the Scheme(s). I/We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We further declare that the amount invested by me/us in the Scheme(s) is derived through		Signature of	APPLICA POA Details - Po	NT SIGNATURE	POA HOLDER SIGNATURE
legitimate sources and is not held or designed for the purpose of contravention of any act, rules, and regulations or any statute or legislation or any other applicable laws or any		1st Applicant / POA Holder /	POA PAN		
notifications, directions issued by any governmental or statutory authority from time to time. I/We hereby confirm that I/We have read and understood the contents on "Third		Guardian	Enclosed (pleas	e √) □ PAN □ KYC	(Attach copy of PAN & KYC^)
Party Payments" and confirm that the payment for this subscription application has been made from my/our Account or from such accounts as permitted by SEBI/AMFI and provided in the said section on Third Party Payments. Further, relevant declaration and documents as mandated herein have been provided for the mode of my payment. I/We further confirm that I/we have the express authority from the relevant constitution to invest in the units of the Scheme and the IIFL Asset Management Ltd. [AMC], its Trustee	JRES		APPLICA	NT SIGNATURE	POA HOLDER SIGNATURE
and the Mutual Fund would not be responsible if the investment is ultra vires the relevant constitution.	NAT	Signature of	2012 1 2		1
I/We further confirm that the ARN holder (Broker/Sub-Broker) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for	S	2nd Applicant / POA Holder	POA Details - Po	JA Name	
the different competing Schemes of various Mutual Funds from amongst which the Scheme(s) has been recommended to me/us.			POA PAN		(Attach conv. of DAN 9 IO/CA)
I/We authorize AMC to reject the application, reverse the units credited, restrain me/us from making any further investment in any of the Scheme/s of IIFL Mutual Fund, recover/			Enclosed (pleas	e √) ☐ PAN ☐ KYC	(Attach copy of PAN & KYC^)
debit my/our folio(s) with the penal interest and take any appropriate action against me/us in case the cheque(s)/ payment instrument is/are returned unpaid by my/our bank for any reason whatsoever.					
I/We hereby further agree that AMC can directly credit all the dividend payouts and redemption amount to my/our bank account, where AMC has such arrangement with my/our Bank.		Signature of	APPLICA	nt signature	POA HOLDER SIGNATURE
Applicable to NRIs only: I/We confirm that I am/ we are Non- Residents of Indian Nationality/ Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in my/our Non-		3rd Applicant / POA Holder	POA Details - PO	DA Name	
Residents External/ Ordinary Account/FCNR Account.			POA PAN		
I/We hereby authorise AMC to provide my/our information, as mentioned in this application form or forming part of my/our Folio details, to AMCs Registrar and Transfer Agent or service providers engaged by R&T, for effectively carrying out the maintenance, storage and processing of unit holders' related activities.			Enclosed (pleas	e √) ☐ PAN ☐ KYC	(Attach copy of PAN & KYC^)



FATCA-CRS Declaration & Supplementary KYC Information

Declaration Form for Individuals

Please seek appropriate advice from your professional tax professional on your tax residency and related FATCA & CRS guidance

	<u> </u>									
PAN*										
Name										1
Address Type [for KYC		Reside	ential		Reside	ntial / I	Business			
address]		Busine	ess		Registe	red O	ffice			
Place of Birth					Count Birth	ry of				
Gross Annual Income Details in INR	☐ Below 1 Lakh ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs - 1 Cr ☐ > 1 Crore				Occup. Details [Please tick one (√)	e any	☐ Gover ☐ Agricu ☐ Stude ☐ Forex	Sector [ nment Se ilturist [	ervice □ Hous □ Retire	e Sector ewife ed
Politically Exposed Person [PEP] * If PAN is not av	☐ Not	Any other i							]	
If 'Yes', please specify the details of all countries where you hold tax residency and its Tax Identification Number & type  S No   Country of Tax Residency#   Tax Payer Identification Number /   Identification Type										
	Duritry Or	Tax Nesiu	ency#		unctional E					ase specify]
2										
3										
# to include all coun countries especially o		than India, w	here inves	tor is Citiz	en / Resident	/ Gree	n Card Holde	er / Tax Res	sident in t	hose respective
Declaration:  I acknowledge and confirm that the information provided above is true and correct to the best of my knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/ am aware that I may liable for it. I hereby authorize IIFL Asset Management Limited/ IIFL Mutual Fund to disclose, share, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to IIFL Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorize to share the given information to other SEBI Registered Intermediaries to facilitate single submission / updation & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your / Fund's end. As may be required by domestic or overseas regulators/ tax authorities, I authorize IIFL Asset Management Limited/ IIFL Mutual Fund to withhold and pay out any sums from your account or close or suspend your account(s) without any obligation of advising me of the same										
Date:				_				Signature	:	
Place:						First Applicant / Guardian				



## FATCA-CRS Declaration & Supplementary KYC Information

Declaration Form for Entities

Please seek appropriate advice from your professional tax professional on your tax residency and related FATCA & CRS guidance

	PART – A									
PAN										
Name					•	ı		ı		
Address		Resid	lential		Resid	ential / Bu	ısiness			
<b>Type</b> [for KYC address]		Busin	ess		Regist	ered Offic	ce			
Place of Incorporation					Country Incorpo					
Gross Annual Income		w 1 Lakh			Net W	orth in				
Details in INR	□ 5-10	Lacs acs - 1 C	□ 10-2 r □ > 1		Net Wor		dd/mmi	m/yyyy	_	
Is the entity involved in / providing any of the following services:	Cha Gai Ser sync	eign Exc anger Ser ming / Ga vices [e.g licates] ney L wning	vices ambling /	Lottery betting	Any informat applicable		[Please specify]			
ls your [Entity] C If 'Yes', please Identification Nur	specify	the deta	•		L	Yes		No I tax res	idency a	nd its Ta
S No C	Fu						dentification [TIN or of please specification of the pleas	other,		
1										
2										
3										
In case the Entity mention Entity's								ot a Spe	cified US	Person,

	Part B [to be fille	ed by Financial Institutions or Direct Reporting NFFEs]							
We are a  Financi Instituti / FFI [instruction a.]	ial ion Note: If you do not above and indicate	GIIN (Global Intermediary Identification Number):  Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below  Name of the sponsoring entity							
Direct Reporti NFFE [refer instructio b.]	GIIN not availa  Applied Fo	GIIN not available [tick any one]:  Applied For  Not required to apply for – specify sub-category code fer instructions c.]  Not obtained - Non-participating FFI							
Part C	[Fill any one as app	olicable - to be filled by NFEs other than Direct Reporting NFFEs]							
cor sha tra red exc	the entity is a listed mpany [whose ares are regularly ided on a cognized stock change] [refer tructions d.]	Yes [ (Please specify the name of the Stock Exchange(s) where it is traded regularly)  1  2							
En cor sha tra red exc	the entity a 'Related tity' of a listed mpany [whose ares are regularly aded on a cognized stock change] [refer tructions e.]	Yes  (Please specify the name of the listed company, name of the Stock Exchange(s) where it is traded regularly)  Name of the listed company:							
	the entity an Active FE?  Yes - Nature of business Please specify sub-category of Active NFE [refer instructions g.]								
	the entity a Passive FE: [refer instructions h.]	Yes - Nature of business Also submit UBO Form [provided separately]							

#### **Declaration:**

I acknowledge and confirm that the information provided above is true and correct to the best of my knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/ am aware that I may liable for it. I hereby authorize IIFL Asset Management Limited/ IIFL Mutual Fund to disclose, share, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to IIFL Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorize to share the given information to other SEBI Registered Intermediaries to facilitate single submission / updation & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your / Fund's end. As may be required by domestic or overseas regulators/ tax authorities, I authorize IIFL Asset Management Limited/ IIFL Mutual Fund to withhold and pay out any sums from your account or close or suspend your account(s) without any obligation of advising me of the same

Signature with relevant seal:		
Authorized Signatory	Authorized Signatory	Authorized Signatory
Date:		
Place:		

#### Instructions for FATCA & CRS Declaration

- a. Financial Institution / Foreign Financial Institution [FFI] Means any non-US financial institutions that is a (1) Depository institution accepts deposits in the ordinary course of banking or similar business; (2) Custodian institution as a substantial portion of its business, hold financial assets for the accounts of others; (3) Investment entity conducts a business or operates for or on behalf of a customer for any of the activities like trading in money market instruments, foreign exchange, foreign currency, etc. or individual or collective portfolio management or investing, administering or managing funds, money or financial assets on behalf of other persons; or an entity managed by this type of entity; or (4) Insurance company entity issuing insurance products i.e. life insurance; (5) Holding or Treasury company entity that is part of an expanded affiliate group that includes a depository, custodian, specified insurance company or investment entity
- b. Direct Reporting NFFE a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS
- c. GIIN not required category:

Code	Sub-Category Sub-Category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors and Investment Managers
07	Exempt collective investment vehicle
80	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

- d. Listed Company A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)
- e. Related Entity an entity is a "Related Entity" of another entity if one controls the other, or the two entities are under common control (where control means direct or indirect ownership of more than 50% of the vote or value in an entity)
- f. Non-financial foreign entity [NFFE] Non-US entity that is not a financial institution [including a territory NFFÉ]. Following NFFEs are excluded from FATCA reporting (a) Publicly traded corporation / listed companies; (b) Related Entity of a listed company; and (c) Active NFFE
- g. Active NFFE Any one of the following –

Code	Sub-Category
01	Less than 50% of the NFE's gross income for the preceding calendar year or other appropriate reporting
	period is passive income and < 50% of the assets held by NFE are assets that produce or are held for the
	production of passive income
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly
	owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock
	of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses
	other than the business of a Financial Institution, except that an entity shall not qualify for NFE status if
	the entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture
	capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund
	companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into
	assets with the intent to operate a business other than that of a Financial Institution, provided that the
	NFE shall not qualify for this exception after the date that is 24 months after the date of the initial
	organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its
	assets or is reorganizing with the intent to continue or recommence operations in a business other than
	that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not

Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;

- 07 Any NFE is a 'non for profit' organization which meets all of the following requirements:
  - It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labour organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
  - It is exempt from income tax in India;
  - It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
  - The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents do not
    permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private
    person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities,
    or as payment of reasonable compensation for services rendered, or as payment representing the
    fair market value of property which the NFE has purchased; and
  - The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require
    that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity
    or other non-profit organization, or escheat to the government of the NFE's jurisdiction of residence
    or any political subdivision thereof.
- h. Passive NFE means any NFE that is not (i) an Active NFFE or listed / publicly traded entity or entity related to the listed / publicly traded entity, or (ii) a withholding foreign partnership or withholding foreign trust pursuant to relevant U.S. Treasury Regulations or (iii) the gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)
- i. Passive Income portion of gross income that consists of dividends, interest, rents and loyalties (other than rents and loyalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFFE), income equivalent to interest / amount received from pool of insurance contracts, annuities, excess of gains over losses from the sale or exchange of property / from transactions (including futures, forwards or similar transactions) in any commodities but not including (i) any commodity hedging transaction, determined by treating the entity as a controlled foreign corporation or (ii) active business gains or losses from the sale of commodities but only if substantially all the foreign entity's commodities are property, excess of foreign currency gains over losses, net income from notional principal contracts, amounts received under cash value insurance contracts, amounts earned by insurance company in connection with its reserves for insurance and annuity contracts
- j. Controlling Persons means the natural persons who exercise control over an entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term "Controlling Persons" shall be interpreted in a manner consistent with the Financial Action Task Force Recommendations.
- k. Non-Participating FFIs [NPFFIs] an FFI other than participating FFI, a deemed-complaint FFI, or an exempt beneficial owner
- I. Specified US Persons Any US Person other than i). A publicly traded corporation; ii). A corporation that is a member of the same expanded affiliate group; iii). A tax exempt organization; iv). an individual retirement plan; v). the United States or an agency or instrumentality of the United States; vi). Any state [including District of Columbia and United States possession] or State Authorities; vii). A bank, viii). A real estate investment trust; ix). A regulated investment company; x). an entity registered with the SEC under the Investment Company Act of 1940; xi). A common trust fund; xii). A tax exempt trust; xiii). A registered dealer; xiv). A registered broker
- m. Expanded affiliated group One or more chains of members connected through ownership (50% or more, by vote or value) by a common parent entity if the common parent entity owns stock or other equity interests meeting the requirements in atleast one of the other members
- n. Owner documented FFI AN FFI meeting the following requirements (a) FFI is an FFI solely because it is an investment entity; (b) FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; (c) FFI does not maintain a financial account for any non-participating FFI; (d) FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in the circumstances, and (e) The designated withholding agent agrees to report to the IRS (or, in case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any US specified persons and (2) Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a US person, an exempt beneficial owner, or an exempted NFE

#### o. Exemption Code for US persons

Code	Sub-category
А	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
В	The United States or any of its agencies or instrumentalities
С	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
Н	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
K	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
М	A tax exempt trust under a section 403(b) plan or section 457(g) plan

## Declaration Form of Ultimate Beneficial Ownership [UBO] / Controlling Persons (Mandatory for Non-individual Investors)

	Investor	

i. iiivesi	. Investor details.													
Name o	Name of the Investor:													
PAN*												<u> </u>	I	1
* If PAN is	s not available,	specify Folio	o No. (s)											
II: Cate	gory													
☐ Our	company is	s a Listed	Company I	isted / Sub	sidiary or	Controlled	by a Listed	d Company	/ [If this ca	tegory is s	elected, no	need to p	rovide UB	O details]
☐ Unlis	☐ Unlisted Company ☐ Partnership Firm / LLP ☐ Unincorporated association / body of individuals ☐ Public Charitable Trust ☐ Private Trust													
☐ Relig	☐ Religious Trust ☐ Trust created by a Will ☐ Others [please specify]													

UBO	/ Controlling P	erson(s) de	<u>tails</u>										
S No	Name of UBO#	Country of Tax Residency #	Taxpayer Identification Number / PAN / Equivalent ID Number#	Identificat ion Type#	% of beneficial interest#	CP/UBO Code# (Refer Instructions E]	Place & Country of Birth#	Date of Birth [dd- mmm- yyyy] \$	Address\$, Address Type* & Contact details [include City, Pincode, State, Country	Gender \$ [Male, Female, others]	Father's Name\$	Nationa lity\$	Occupa tion [Service, Busines s, Others.]

# Mandatory fields

- \* Address Type should either Residence or Business or Registered Office
- \$ Mandatory if PAN of UBO/Controlling persons is not provided

Note: If the given rows are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory

\*Note that some of the Mutual Funds may call for additional information/documentation wherever required or if the given information is not clear / incomplete / incorrect and you may to have provide the same as and when solicited

#### **Declaration**

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief and provided after consulting necessary tax professionals, read & understood the FATCA terms and conditions. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may liable for it. I/We hereby authorize you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to India IIFL Fund, its Sponsor, Asset Management Company, trustees, their employees / associated parties / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India and other investigation agencies without any obligation of advising me/us of the same. Further, I/We, authorize to share the given information to other SEBI Registered Intermediaries to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information / documentary proof as may be required at your end

provide any other additional information / docume  Signature with relevant seal:		to the above information in future and also underta
Authorized Signatory	Authorized Signatory	Authorized Signatory
Place:		
Date://		

#### Instructions on Controlling Persons / Ultimate Beneficial Owner

As per SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 regarding Client Due Diligence policy, related circulars on anti-money laundering and SEBI circular No. CIR/MIRSD/2/2013 dated January 24, 2013, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

#### A. For Investors other than individuals or trusts:

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
  - more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
  - more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership;
  - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

#### B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

#### C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012, for the purpose of identification of beneficial ownership of the client

#### D. KYC requirements

Beneficial Owner(s) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the listed Beneficial Owner(s).

#### E. Controlling Person Type [CP/UBO] Codes:

CP/UBO Code	Description	CP/UBO Code	Description
C01	CP of legal person-ownership	C08	CP of legal arrangement-trust-other
C02	CP of legal person-other means	C09	CP of legal arrangement-trust-other- settlor equivalent
C03	CP of legal person-senior managing official	C10	CP of legal arrangement-trust-other-trustee-equivalent
C04	CP of legal arrangement-trust- settlor	C11	CP of legal arrangement-trust-other- protector equivalent
C05	CP of legal arrangement-trust-trustee	C12	CP of legal arrangement-trust-other-beneficiary-equivalent
C06	CP of legal arrangement-trust-protector	C13	CP of legal arrangement-trust-other-other-equivalent
C07	CP of legal arrangement-trust- beneficiary	C14	Unknown



# IIFL Fixed Maturity Plan - Series 11 (Close ended Income Scheme) (Maturity: 1156 days)

#### APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM

Investors must read the Scheme Inform	ation Docume	ent/ Key Informat	tion Memo	orandu	m and Instru	ctions befo	re comp	leting th	is form	١.	
New Fund Offer Opens on:				Nev	w Fund Offer	Closes on:			D	ate D D M N	ЛҮҮҮ
ASBA Application No.					NFC	O Applicati	on No. (	Mandato	ory) [		
Broker/ Agent Information						Office Us					
Name and AMFI Regn No. Sub Broker N	lame & Code	SCSB (Name & Co	ada)		SCSB IFSC Cod (11 Digit Code		-	Member C  e & Code)	.oae	SL N	10.
ARN	(B. B. (B. B. ( )	(Name & Co	oue)		(TT Digit Code	=)	(INdIII)	e & Coue)			
1. Name of first applicant (Mr/Ms/	/Wrs/W/s)										
2. Existing Folio No. (If you have an	existina Folio I	Number please m	ention the	numh	er here)						
Folio No.					<i>c</i>						
3. Demat Account Details											
	NSDL						(	CDSL			
DP Name:				DP	Name:						
DP ID*: I N											
Beneficiary Account No.				Ber	eficiary Acco	ount No.					
4. PAN of 1st applicant (Permane	nt Account I	Number)									
PAN											
5. Details of Bank Account for blo	cking of fur	nds									
<b>Bank Account Number</b>											
Bank Name											
Branch Name where account is held											
Total Amount to be blocked (in figu	res)										
Total Amount to be blocked (in wo	ords)										
6. Investor Category (Please $$ as a	pplicable, R	efer instructio	ns)								
IND HUF	IC	AOP [		MF		SOCTY [		NRI		MINOF	₹ 📗
BOI FII	BANK	CO [		FI		TRUST [		OTH			
7. Undertaking by ASBA Investor											
to do all necessary acts including blockin details" or unblocking of funds in the bar Fund on receipt of instructions from the I and Transfer Agent to issue instructions to requisite money to the Scheme's account blocking the amount equivalent to the affurnished by me/us in the application is IIFL Asset Management Limited or IIFL Tru SCSB/RTA/AMC quoting the full name of account number from where NFO amount	nk account main Registrar and Tra to the SCSB to rei t / Bank account oplication mone incorrect or inc ustee Limited or the Sole/First Ap	ntained with the SC ansfer Agent after move the block on t of IIFL Mutual Fur ey towards the Sub complete or not m SCSBs shall not be	TSB specifie the allotme the funds in nd. 3) In ca escription of natching with	d in this ent of the n the base the a f Units, ith the posses, if	s application for the Units entitling ank account sport amount availabe the SCSB shall depository rec any. All future	orm, transfer ng me/us to ecified in the ble in the ba reject the ap cords, the ap communica	of funds receive Un applicati nk accour oplication oplication ation in co	to the Ban nits on suc on, upon a nt specified 4) If the D shall be re nnection	ik according the transfer of transfer of the t	unt of the Schen ofer of funds, etc ent of Units and e application is i eneficiary Accou and the IIFL M O should be ad	ne/IIFL Mutual c. (b) Registrar to transfer the nsufficient for int No. or PAN utual Fund or dressed to the
SIGNATURE(S)											
	First A	Account Holder			Second Acco	ount Holde	er	T	hird <i>A</i>	Account Hold	er er
		(Signa	ture of E	Bank A	Account Ho	lders)					
ACKNOWLEDGEMENT SLIP	(To be filled	d in by the Ap	plicant/	attach	ned by the a	applicant	along ı	vith NF	0 арр	olication for	m)
IIFL Fixed Ma	aturity Pla	an - Series 1	11 & 12	2						. 1	1
Mutual Fund	1						A 11			ate	
ASBA Application No.							Applic	cation No	)		
Received from Mr/Ms/Mrs/M/s											
Address											
SCSB Account details:								SC:	SB Sta	amp and sig	nature
Account No. Bank	Name		В	ranch I	Name						
Total Amount to be blocked:											
₹In figures ₹ in word	s										
Date & Time of Receipt											

#### **INSTRUCTIONS FOR FILLING ASBA APPLICATION FORM**

- An ASBA investor shall submit a duly filled up ASBA Application form, physically or electronically, to the SCSB with whom the bank account to be blocked, is maintained.
  - In case of ASBA application in physical mode, the investor shall submit the ASBA Form at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.
  - In case of ASBA application in electronic form, the investor shall submit the ASBA Form either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for subscribing to units of Mutual Fund scheme authorising SCSB to block the subscription money in a bank account.
- 2. Investors shall correctly mention the Bank Account number in the ASBA Application Form and ensure that funds equal to the subscription amount are available in the bank account maintained with the SCSB before submitting the same to the designated branch.
- 3. Upon submission of an ASBA Form with the SCSB, whether in physical or electronic mode, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the Designated Branch to block such amount in the Bank Account.
- 4. On the basis of an authorisation given by the account holder in the ASBA application, the SCSB shall block the subscription money in the Bank Account specified in the ASBA application. The subscription money shall remain blocked in the Bank Account till allotment of units under the scheme or till rejection of the application, as the case may be.
- 5. If the Bank Account specified in the ASBA application does not have sufficient credit balance to meet the subscription money, the ASBA application shall be rejected by the SCSB.
- 6. The ASBA Form should not be accompanied by cheque, demand draft or any mode of payment other than authorisation to block subscription amount in the Bank Account.
- 7. All grievances relating to the ASBA facility may be addressed to the AMC / RTA to the Issue, with a copy to the SCSB, giving full details such as name, address of the applicant, subscription amount blocked on application, bank account number and the Designated Branch or the collection centre of the SCSB where the ASBA Form was submitted by the Investor.
- 8. ASBA facility extended to investors shall operate in accordance with the SEBI guidelines in force from time to time.
- 9. The investor is required to submit a copy of the acknowledgment receipt of the ASBA Form (as submitted with SCSB) along with the NFO application form.
- 10. SCSB means Self Certified Syndicate Bank registered with the SEBI, which offers the facility of ASBA. The current list of SCSBs of controlling/designated branches, please refer to websites www.sebi.gov.in, www.bseindia.com and www.nseindia.com
- 11. Eligible investors for ASBA maintaining their account in any of the above SCSBs may use ASBA facility subject to fulfilling all the terms and conditions stipulated in this regard.
- 12. Invetors shall tick the applicable category in the form, please note the various categories below:

Code	Category	Code	Category	Code	Category
IND	Individual	IC	Insurance Companies	AOP	Association of Persons
HUF	Hindu Undivided Family	MF	Mutual Funds	SOCTY	Society
NRI	Non-Resident Indian	MINOR	Minor (Through Guardian)	BOI	Board of Individuals
FII	Foreign Institutional Investor	BANK	Bank	CO	Bodies Corporate
FI	Banks & Financial Institutions	TRUST	Trust	OTH	Others

#### INSTRUCTIONS FOR FILLING APPLICATION FORM

#### 1. GENERAL INSTRUCTIONS

- Please read the terms of the Key Information Memorandum and the Scheme Information Document (SID) and Statement of Additional Information (SAI) carefully before filling the Application Form. Investors should also apprise themselves of the prevailing Load structure on the date of submitting the Application Form. Investors are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.
- Application form should be completed in English and in BLOCK LETTERS. Please tick in the appropriate boxes wherever applicable.
- The signature should be in English or in any of the Indian languages specified in the eighth schedule of the Constitution of India. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Applications by minors should be signed by the guardians. In case of H. U. F. the Karta should sign on behalf of the H. U. F.
- The application complete in all respects along with the cheque must be submitted to the nearest designated Investor Service Centre. Applications incomplete in any respect or not accompanied by cheque of the amount payable are liable to be rejected and the money paid will be refunded without interest.
- No receipt will be issued for the application money. The designated Investors Service Centre will stamp and return the acknowledgment slip in the application form, to acknowledge receipt of the application.
- All cheques must be drawn in favour of "Name of the Scheme A/c. 1" Investor Name" "Name of the Scheme A/c. Permanent Account Number" or "Name of the Scheme A/c. Folio Number" account and crossed "Account Payee Only". A separate cheque must accompany each application.
- Investors already holding a folio in IIFL Mutual Fund can provide their existing Folio Number and Name of applicants(s) corresponding to the said folio. It is the responsibility of the Investor to ensure correctness of such details provided. The personal details and Bank Account details as they feature in the existing folio in our records would apply, provided these details match with that in your demat account held with a Depository Participant. The details in your demat account would prevail over any conflicting information furnished in this form. Unit holders' name should be in the same sequence as appearing in your demat account, failing which the Application Formis liable to be rejected.
- The applicant details mentioned in Section 1, should be the same as appearing in demat account held with a itory Participa

#### 2. INVESTOR PARTICULARS

- Name and address must be given in full. P.O. Box address is not sufficient. In case of NRIs/ FII investors an overseas address must be provided.
- Name of Guardian must be mentioned if investments are being made on behalf of a minor and the same should be as provided in your demat account. Date of birth is mandatory in case of minor.

  In case of an application under Power of attorney or by a limited company, body corporate, registered society, trust or partnership, etc the relevant Power of attorney or the relevant resolution or authority to make the application as the case maybe, or duly notarised copy thereof, along with the Memorandum and Articles of Association/ Bye Laws must be lodged with the application form.
- Documentation to be submitted by Corporate Investors/Societies/Trusts/Partnership Firms/Fils

Documents	Companies	Societies		Investments through POA	Trusts	NRI	FIIs	Individual
Resolution/ Authorisation to invest	✓	✓	✓		✓		✓	
List of Authorised Signatories with Specimen signature(s)	✓	✓	✓		<b>✓</b>		1	
Memorandum & Articles of Association	✓							
Trust Deed					✓			
Bye-laws		✓						
Partnership Agreement/ Partnership Deed			✓					
Overseas Auditors' Certificate							✓	
Notarised Power of Attorney				✓				
Bank confirmation of Non-Resident Account Type/ FIRC						<b>√</b>		
Copy of PAN Card	✓	✓	✓	✓	✓	✓	✓	✓
Copy of KRA acknowledgment letter	✓	✓	✓	✓	✓	<b>✓</b>	<b>✓</b>	<b>✓</b>
Cancelled cheque	✓	✓	✓	✓	✓	✓	1	✓

The Power of Attorney should necessarily be signed by both the investor and the constituent Power of Attorney Where only uncertified photocopies of the documents are submitted/attached to the application form, the onus for authentication of the documents so submitted shall be on investors and the IIFLAMC/MF will accept and act in good faith on uncertified/ not properly authenticated documents submitted/attached with the application form. Submission of such documents by investors shall be full and final proof of the non individual investor's authority to invest and the IIFLAMC/MF shall not be liable under any circumstances for any defects in the documents or understance. documents so submitted

Non-Individual investors are required to ensure that the object clause of the constitution document (viz. MOA /AOA/Trust Deed, etc.) permits investment in the scheme(s) of IIFL Mutual Fund, IIFLAMC/IIFLMF shall accept

/AOA/Trust Deed, etc.) permits investment in the scheme(s) of IIFL Mutual Fund. IIFLAMC/ IIFLMF shall accept and process the applications made by these entities in good faith by relying on the undertaking given with respect to the authority, validity and compliance with all relevant formalities/conditions etc., in the application for making such investments with IIFL Mutual Fund. Further, IIFLAMC/ IIFLMF/ Trustees or any of its affiliates shall not be liable in case of any dispute arising with respect to eligibility, validity and authorization of the entity and/or the applicants who have applied on behalf of the entity, as applicable. Applicants can specify the mode of holding in the application form as "Single" or "joint" or "Anyone or Survivor". In the case of holding specified as "loint", all requests / transactions would have to be signed by all unit holders. However, in cases of holding specified as "Anyone or Survivor" any one of the unit holders will have the power to make all necessary requests, without it being necessary for all the unit holders to sign. In the event the account has more than one registered unit holders and the mode of holding is not specified to the application form, the default option for holding would be considered to be joint. However, in all cases, the proceeds of all redemption will be paid to the first named holder. All communications will also be sent to the first named holder.

#### 3. BANK AND PERMANENT ACCOUNT NUMBER DETAILS

Bank Details: In order to protect the interest of investors from fraudulent encashment of cheques, the SEBI Regulations have made it mandatory for investors to mention in their application / Redemption request, the bank name and account number. In case of Unit Holders opting to held units in demat mode they should provide the details of bank account linked to their demat account provided under Section 9 of this application. The bank mandate available with respective Depository Participant will be treated as the valid bank mandate for the purpose of payouts for redemptions/dividend proceeds or at the time of any corporate action. Unit holders under the Scheme will be required to follow the procedure for change in bank mandate as per the instructions given by their respective Depository Participants. In case of QFI, designated overseas bank details to be provided.

their respective Depository Participants. In case of QH, designated overseas bank details to be provided.

PAN Details: It is compulsory for all investors to quote their Permanent Account Number (PAN) and submit copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint applicants, PAN details of all holders should be submitted. In case the investor making the application is a minor, PAN details of the Guardian must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission, however sufficient documentary evidence shall have to be submitted to IIFL Mutual Fund for verifying that they are residents of State of Sikkim are residents of State of Sikkim are the participants.

#### 4. MODE OF PAYMENT

- Resident investors may make payment by cheque payable locally in the city where the application form is submitted at the local IFL Asset Management Ltd. Offices/ Authorised Collection Centres.
- The cheque should be drawn on any bank which is situated at and is a member/sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted. Investors residing in Centres, where the Investors service Centres of the mutual fund are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices? authorised centres & DD Charges are mentioned in the form. The maximum charges so borne by the AMC would be restricted to limits as prescribed by SEBI.
- For all mode of payments, details of source account, source bank name and source branch name should be mentioned.
- Restriction on acceptance of Third Party Payment:
  - a) Pursuant to the AMFI Best Practice Guidelines circular on 'Risk mitigation process against Third-Party Cheques in mutual fund subscriptions' read with compliance with 'Know your Customer (KYC)' norsu under Prevention of Money Laundering Act, 2002 (PMLA), IIFL Asset Management Limited (IIFLAMC)' IIFL Mutual Fund (IIFLMP) shall not accept applications for subscriptions of units accompanied with Third Party Payments, except in the cases as enumerated below in para (c).
  - "Third Party Payment" means payment through an instrument issued from a bank account other than that of the beneficiary investor. In case of payments from a joint bank account, the first named investor/holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is
  - c) IIFLAMC shall not accept subscriptions accompanied with Third Party Payments except in the folloexceptional situations subject to submission of requisite documentation/declarations enumerated in page 1.
    - Payment by Parents/Grand-Parents/Related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹50,000/- (each regular purchase) However, this restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio as a guardian.
    - ii. Custodian on behalf of an FII or a client.

- iii. Payment by employer on behalf of employee under SIP or lumpsum through payroll deductions
- d) In case of exceptional situations' mentioned above, investors are required to submit following documents; declarations along with the application form without which such applications will be rejected/ not processed/refunded:
  - Mandatory, KYC for all Investors (guardian in case of minor) and the person making the pay third party. In order for an application to be considered as valid, investors and the person m payment should attach their valid KYC Acknowledgment Letter to the application form.
- A separate, complete and valid 'Third Party Payment Declaration Form', inter alia, containing the details of the bank account from which the payment is made and the relationship with the investor(s). The declaration has to be given by the person making the payment is. Third Party. Please contact the nearest Investor Service Centre (ISC) of IIFLAMC or visit our website www.iiflmf.com for the said

#### Investors are requested to note that, in case of:

- Payment by Cheque: An investor at the time of his/her purchase must provide the details of his pay-in bank account (i.e. account from which a subscription payment is made) and his pay-out bank account (i.e. account into which redemption/dividend proceeds are to be paid). If the name/bank account number is not pre-printed on the cheque and signature on the cheque does not match with signature on the application, then the first named applicant/ investor should submit any one of the following documents:
  - a copy# of the bank passbook or a statement of bank account having the name and address of the account holder and account number;
- a letter\* (in original) from the bank on its letterhead certifying that the investor maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available).
- Investors should also bring the original documents along with the documents mentioned in (a) above to the ISCy Official Points of Acceptance of IIFLMF. The copy of such documents will be verified with the original documents to the satisfaction of the IIFLAMC/ IIFLMF. The original documents will be returned across the counter to the investor after due verification.
- In respect of (b) above, it should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.

Investors should note that where the bank account numbers have changed on account of the implementation of core banking system at their banks, any related communication from the bank towards a change in bank account number should accompany the application form for subscription of units.

units.

Payment by Prefunded Instrument: (1) If the subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate (in original) from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The account number mentioned in the Certificate should be a registered bank account or the first named unit holder should be one of the account holders to the bank account the office of such instruments. Investors may also submit a copy of the acknowledgment from the bank, wherein the instructions to debit carry the bank account details and name of the investor as an account holder, or a copy of the passbook/ bank statement evidencing the debit for issuance of a DD, provided bank account number has to match with the details provided in the application form and name should match with the name of the first named unit holder.

(2) A pre-funded instrument issued by the Bank angists Cash shall not be accepted for investments of

(2) A pre-funded instrument issued by the Bank against Cash shall not be accepted for investments of ₹50,000/- or more. This also should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the payment instrument. The name mentioned on the Certificate should match with the name of the first named until holder and certificate must state such investor's bank account number and PAN as per bank record, if available.

The Certificate(s) mentioned in (1) and (2) above should be duly certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.

Payment by RTGS, NEFT, ECS, Bank transfer, etc: A copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer Instruction copy should be a registered bank account or the first named unit holder should be one of the account holders to the bank account. In case the application for subscription does not comply with the above provisions, IIFLAMC/ IIFLMF retains the Sole and absolute discretion to reject/not process such application and refund the subscription money and shall not be liable for any such

#### 5. NRI INVESTORS

Repatriation basis: Payments by NRIs/FIIs may be made by way of Indian rupee drafts purchased abroad or out of funds held in NRE/FCNR account or by way of cheques drawn on non-resident external accounts payable at par and payable at the cities where the Investor Service Centres are located. In case of Indian rupee drafts purchased and subscriptions through NRIs/FCNR account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed. All applications must be accompanied by FIRC.

Non Repatriation basis: NRIs investing on a non repatriable basis may do so by issuing cheques/ demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centres are

#### NOMINATION

Nomination will be maintained at folio level. Applicant who do not wish to nominate must, at the designate space, confirm their non intention to nominate, failing which the form may be rejected at the discretion of the fund/AMC. Please refer SAI for complete detail.

#### COMMUNICATION

Allotment advice, Account Statements, Quarterly Newsletter, Annual Reports and Transaction Confirmation can be sent to Unit holders by post / e-mail. Should the Unit holder experience any difficulty in accessing in the electronically delivered documents, the unit holder shall promptly inform the same to the Mutual Fund. It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties.

#### DEMAT ACCOUNT DETAILS

DEMAI ACCOUNT DETAILS

An Investor intending to invest in the scheme in demat mode is required to have a beneficiary account with a Depository Participant (DP) (registered with NSDL/ CDSL) and will be mandatorily required to indicate in the application the DPs name, DP ID Number and the beneficiary account number of the applicant held with the DP. Applicants must ensure that the sequence of names as mentioned in the Application form matches to that of the demat account held with the Depository Participant. Names, PAN details, KYC details etc. mentioned in the Application Form will be verified against the Depository data. Only those applications where the details are matched with the depository data, will be treated as valid applications. If the details mentioned in the application complete / incorrect, not matched with the depository data, the application shall be treated as invalid and

#### KNOW YOUR CLIENT (KYC)

All Applicants (including POAs and Guardians) are required to be KYC compliant irrespective of the amount of investment. In case you are not KYC certified, please fill in the KYC form (individual or Non-Individual). A KYC acknowledgement letter should be submitted along with application for opening a folio or making an investment. Each holder in the folio must be KYC compliant.

 $Investors\ may\ kindly\ note\ that\ new\ SEBI\ Circular\ is sued\ regarding\ uniformity\ in\ the\ KYC\ process\ was\ effective\ from$ 

- January 1, 2012. SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries, new Investors are therefore requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds.

  The Mutual Fund shall perform the initial KYC of its new investors and shall also accept the details change form for investors who have done their KYC prior to December 31, 2011.
- It is mandatory to carry out In-Person Verification (IPV) for processing the KYC of its new / existing investors from
- Once the KYC and IPV-In Person Verification has been done with any SEBI registered intermediary, the investor need not undergo the same process again with any another intermediary including mutual funds. However, the Mutual Fund reserves the right to carry out fresh KYC/additional KYC of the investor.

  Existing KYC compliant investors of the Mutual Fund can continue to invest as per the current practice.

- S. Existing KYC compilant investors of the Mutual Fund can continue to invest as per the current practice.
   Non-individual investors will have to do a fresh KYC due to significant changes in KYC requirements.
   In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, the additional details viz. Occupation details, Gross Annual Income/networth and Politically Exposed Person (PEP)\* status mentioned under section 2 & 3 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc., (applicable for first/sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.
   The said details are mandatory for both Individual and Non Individual applicants.

\*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

#### Ultimate Beneficial Owners(s)

Pursuant to SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and Guidelines on identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/MIRSD/2/2013 dated January 24, 2013, Investors (other than Individuals) are required to provide details of 'Ultimate Beneficial Owners() (UBG(s))' in the separate format enclosed with this form. In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficial owners are not required to be provided.

Non-individual applicants/investors are mandated to provide the details on 'Ultimate Beneficial Owner(s) (UBO(s))' by filling up the declaration form for 'Ultimate Beneficial Ownership'. Please contact the nearest Investor Service Centre (USC) of IEB Mutual Fund or wish turns when the provided in the control of the control

(ISC) of IIFL Mutual Fund or visit our website

#### 10. APPLICATIONS NOT COMPLETE IN ANY RESPECT ARE LIABLE TO BE REJECTED.

#### AMC Offices

Mumbai HO: IIFL Asset Management Ltd., IIFL Centre, 6th Floor, Kamala City, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013

#### CAMS Collection Centres

Ahmedabad: 111-113,1 st Floor, Devpath Building, Off C G Road, Behind Lal Bungalow, Ellis Bridge, Ahmedabad - 380006 Bangalore: Trade Centre, 1st Floor, 45, Dikensen Road, (Next to Manipal Centre) Karnataka 560042 **Bhubaneswar**: Plot No -111, Varaha Complex Building, 3rd Floor, Station Square, Kharvel Nagar, Unit-3, Bhubaneswar - 751 001 **Chandigarh**: Deepak Tower, SCO 154-155, 1st Floor, Sector 17-C, Chandigarh - 160017 **Chennai**: Ground Floor, No.178/10,Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai - 600034 **Cochin**: 1st Floor, K C Centre, Door No.42/227-B, Chittor Road, Opp. North Town Police Station, Kacheripady, Cochin - 682 018 Coimbatore: Old # 66 New # 86, Lokamanya Street (West), Ground Floor, R.S.Puram, Coimbatore, Tamil Nadu - 641 002 Durgapur: City Plaza Building, 3rd floor, City Centre, Durgapur, West Bengal - 713216 Goa: No.108, 1st Floor, Gurudutta Bldg, Above Weekender, M G Road, Goa - 403 001 Hyderabad: 208, II Floor, Jade Arcade, Paradise Circle, Hyderabad, Telangana - 500 003 Indore: 101, Shalimar Corporate Centre, 8-B, South, Tukogunj, Opp. Greenpark, Indore, Madhya Pradesh - 452001 Jaipur: R-7, Yudhisthir Marg, C-5cheme, Behind Ashok Nagar Police Station, Jaipur, Rajasthan - 302 001 Kanpur: I Floor, 106 to 108, City Centre, Phase II, 63/2, The Mall, Kanpur, Uttarpradesh - 208 001 Kolkata: Saket Building, 44 Park Street, 2nd Floor, Kolkata, West Bengal - 700016 Lucknow: Off # 4,1st Floor, Centre Court Building, 3/C, 5 - Park Road, Hazratganj, Lucknow, Uttarpradesh - 226 001 Ludhiana: U/ GF, Prince Market, Green Field, Near Traffic Lights, Sarabha Nagar Pulli, Pakhowal Road, Ludhiana, Punjab - 141 002 Madurai: Ist Floor, 278, North Perumal Maistry street, Nadar Lane, Madurai, Tamil Nadu - 625 001 Mangalore: No. G 4 & G 5, Inland Monarch, Opp. Karnataka Bank, Kadri Main Road, Kadri, Mangalore, Karnataka - 575 003 **Mumbai**: Rajabahdur Compound, Ground Floor, Opp Allahabad Bank, Behind ICICI Bank, 30, Mumbai Samachar Marg, Fort, Mumbai, Maharashtra - 400 023 **Nagpur**: 145 Lendra, New Ramdaspeth, Nagpur, Maharashtra - 440 010 **New Delhi**: 7-E, 4th Floor, Deen Dayaal Research Institute Building, Swami Ram Tirath Nagar, Near Videocon Tower, Jhandewalan Extension, New Delhi - 110 055 **Patna**: G-3, Ground Floor, Om Maharashtra - 440 010 New Delhi: 7-E, 4th Floor, Deen Dayaal Research Institute Bulding, Swami Ram Tirath Nagar, Near Videocon Tower, Jhandewalan Extension, New Delhi - 110 055 Patha: G-3, Ground Floor, Omy Vihar Complex, SP Verma Road, Patha, Bihar - 800 001 Pune: Nirmiti Eminence, Off No. 6, I Floor, Opp, Abhishek Hotel, Mehandale Garage Road, Erandawane, Pune, Maharashtra - 411 004 Surat: Plot No. 629, 2nd Floor, Office No. 2-C/2-D, Mansukhlal Tower, Beside Seventh Day Hospital, Opp, Dhiraj Sons, Athwalines, Surat, Gujarat - 395 001 Vadodara: 103, Aries Complex, BPC Road, Off R.C.Dutt Road, Alkapuri, Vadodara, Gujarat - 390 007 Vijayawada: 40-1-68, Rao & Ratnam Complex, Near Chennupati Petrol Pump, M.G Road, Labbipet, Vijayawada, Andhra Pradesh - 520 010 Visakhapatnam: 47/ 9 / 17,1st Floor, 3rd Lane, Dwaraka Nagar, Visakhapatnam, Andhra Pradesh - 530 016 Agra: No. 8, Il Floor, Maruti Tower, Sanjay Place, Agra, Uttarpradesh - 282002 Ajmer: AMC No. 423/30, Near Church, Opp TB Hospital, Jaipur Road, Ajmer, Rajasthan - 305001 Allahabad: 30/2, A&B, Civil Lines Station, Besides Vishal Mega Mart, Strachey Road, Allahabad, Uttarpradesh - 211001 Alwar: 256A, Scheme No:1, Arya Nagar, Alwar, Rajasthan - 301001 Amaravati: 81, Gulsham Tower, 2nd Floor, Near Panchsheel Talkies, Amaravati, Maharashtra - 444601 Amritsar: SCO - 18J, 'C Block Ranjit Avenue, Amritsar, Punjab - 140001 Anand: 101, A.P. Tower, B/H, Sardhar Gunj, Next to Nathwani Chambers, Anand, Gujarat - 388001 Asansol: Block - G 1st Floor, P Cchatterjee Market Complex, Rambandhu Talab, P O Ushagram, Asansol, West Bengal - 713303 Auraspatha (110 of Panara Panara Nagar Railabara Tillabara Tillabara Talabara Panara Nagara Tillabara Tillabar Juna Bazar, Aurangabad, Maharashtra - 431001 **Belgaum:** 1st Floor, 221/24/1B, Vaccine Depot Road, Near 2nd Railway gate, Tilakwadi, Belgaum, Karnataka - 590006 **Berhampur:** First Floor, Upstairs of Aaroon Printers, Gandhi Nagar Main Road, Berhampur, Orissa - 760001 **Bhavnagar:** 305-306, Sterling Point, Waghawadi Road, Opp. HDFC BANK, Bhavnagar, Gujarat - 364002 **Bhilai:** Shop No. 117, Ground Floor, Khicharia Complex, Opp. IDBI Bank, Nehru Nagar Square, Bhilai, Chhattisgarh - 490020 **Bhilwara:** Indraparstha Tower, Second floor, Shyam ki sabji mandi, Near Mukharji garden, Bhilwara, Rajasthan - 311001 **Bhopal**; Plot no 10, 2nd Floor, Alankar Complex, Near ICICI Bank, MP Nagar, Zone II, Bhopal, Madhya Pradesh - 462011 **Bokaro**: Mazzanine Floor, F-4, City Centre, Sector 4, Bokaro Steel City,Bokaro, Jharkhand - 827004 **Burdwan**: 399, G T Road,Basement of Talk of the Town, Burdwan, West Bengal - 713101 **Calicut**: 29/97G, 2nd Floor, Gulf Air Building, Mavoor Road, Arayidathupalam, Calicut, Kerala - 673016 **Cuttack**: Near Indian Overseas Ban, Cantonment Road, Mata Math, Cuttack, Orissa - 753001 **Davangere**: 13, Ist Floor, Akkamahadevi Samaj Complex, Church Road, P.J.Extension, Davangere, Karnataka - 577002 **Dehradun**: 204/121 Nari Shilp Mandir Marg, Old Connaught Place, Dehradun, Uttarakhand - 248001 **Dhanbad**: Urmila Towers, Room No: 111(1st Floor), Bank More, Dhanbad, Jharkhand - 826001 **Erode**: 197, Seshaiyer Complex, Agraharam Street, Erode, Tamil Nadu - 638001 **Faridabad**: B-49, 1st Floor, Nehru Ground, Behind Anupam Sweet House, NIT, Faridabad, Haryana - 121001 **Ghaziabad**: 113/6, 1st Floor, Navyug Market, Ghaziabad, Uttarpradesh - 201001 Gorakhpur: Shop No. 3, Second Floor, The Mall, Cross Road, A.D. Chowk, Bank Road, Gorakhpur, Uttarpradesh - 273001 Guntur: Door No 5-38-44, 5/1 Brodipet, Near Ravi Sankar Hotel, Guntur, Andhra Pradesh - 522002 Gurgaon: SCO - 16, Sector - 14, First floor, Gurgaon, Haryana -122001 Guwahati: A.K., Azad Road, Rehabari, Guwahati, Assam - 781008 Gwalior: G-6, Global Apartment, Kailash Vihar Colony, "Opp. Income Tax Office, City Centre, Gwalior, Madhya Pradesh - 474002 Hubli: No. 204 - 205, 1st Floor, 'B' Block, Kundagol Complex, Opp. Court, Club Road, Hubli, Karnataka - 580029 Jabalpur: 8, Ground Floor, Datt Towers, Behind Commercial Automobiles, Napier Town, Jabalpur, Madhya Pradesh - 482001 Jalandhar: 367/8, Central Town, Opp. Gurudwara Diwan Asthan, Jalandhar, Punjab - 144001 Jalgaon: Rustomji Infotech Services, 70, Navipeth, Opp. Old Bus Stand, Jalgaon, aharashtra - 425001 Jamnagar: 207, Manek Centre, P N Marg, Jamnagar, Gujarat - 361 001 Jamshedpur: Millennium Tower, "R" RoadRoom No:15 First Floor, Bistupur, Jamshedpur, Jharkhand - 831001 Jodhpur: 1/5, Nirmal Tower, 1st Chopasani Road, Jodhpur, Rajasthan - 342003 Kolhapur: 2 B, 3rd Floor, Ayodhya Towers, Station Road, Kolhapur, Maharashtra - 416001 Kota: B-33 (Kalyan Bhawan, Triangle Part, Vallabh Nagar, Kota, Rajasthan - 324007 Kottayam; Jacob Complex, Building No - Old No-1319F, New No - 2512D, Behind Makkil Centre, Good Sheperd Road, Kottayam, Kerala - 686001 Meerut: 108, Ist Floor, Shivam Plaza, Opp: Eves Cinema, Hapur Road, Meerut, Uttarpradesh - 250002 Moradabad: B-612, 'Sudhakar', Lajpat Nagar, Moradabad, Uttarpradesh - 244001 Muzaffarpur: Brahman Toli, Durgasthan, Gola Road, Muzaffarpur, Bihar - 842001 Mysore: No.1,1st Floor, CH.26 7th Main, 5th Cross, (Above Trishakthi Medicals), Saraswati Puram, Mysore, Karnataka - 570009 Nasik: Ruturang Bungalow, 2 Godavari Colony, Behind Big Bazar, Near Boys Town School, Off College Road, Nasik, Maharashtra - 422005 Nellore: 97/56, I Floor Immadisetty Towers, Ranganayakulapet Road, Santhapet, Nellore, Andhra Pradesh - 524001 Panipat: 83, Devi Lal Shopping Complex, Opp ABN Amro Bank, G.T. Road, Panipat, Haryana - 132103 Patiala: 35, New lal Bagh Colony, Patiala, Punjab - 147001 Pondicherry: S-8, 100, Jawaharlal, Nehru Street, (New Complex, Opp. Indian Coffee House), Pondicherry - 605001 Raipur: HIG.C-23, Sector - 1, Devendra Nagar, Raipur, Chhattisgarh - 492004 Rajahmundry: Door No: 6-2-12, 1st Floor, Rajeswari, Nilayam, Near Vamsikrishna Hospital, Nyapathi Vari Street, T Nagar, Rajahmundry, Andhra Pradesh - 533 101 Rajkot: Office 207 - 210, Everest Building, Harihar Chowk, Opp Shastri Maidan, Limda Chowk, Rajkot, Gujarat - 360001 Vamskrishna Hospital, Nyapathi Vari Street, I Nagar, Rajahmundry, Andhra Pradesh - 533 101 Rajkot: Office 207 - 210, Everest Building, Harihar Chowk, Opp Shastri Maidan, Limda Chowk, Rajkot, Gujarat - 360001 Ranchi. Jharkhand - 834001 Rourkela: 1st Floor, Mangal Bhawan, Phase II, Power House Road, Rourkela, Orissa - 769001 Salem: No.2, I Floor, Vivekananda Street, New Fairlands, Salem, Tamil Nadu - 636016 Sambalpur: Qr 8aj Tibrewal & Associates, Opp. Town High School, Sansarak, Sambalpur, Orissa - 768001 Siliguri: 17B Swamiji Sarani, Siliguri, West Bengal - 734001 Tirupur: 1(1), Binny Compound, II Street, Kumaran Road, Tirupur, Tamil Nadu - 641601 Tirupurelii: 1 Floor, Mano Prema Complex, 182/6, S.N High Road, Tirunelveli, Tamil Nadu - 627001 Trichur: Room No. 26 & 27, Dee Pee Plaza, Kokkalai, Trichur, Kerala - 680001 Tirchy: No 8, I Floor, 8th Cross West Extn, Thillainagar, Trichy, Tamil Nadu - 62018 Tirvandrum: R S Complex, Opp of LIC Building, Pattom, PO Trivandrum. R S Complex, Opp of LIC Building, Pattom, PO Trivandrum: R S Complex, Opp of LIC Building, Pattom, PO Trivandrum: A Sumara S Warangai. \*A.B. Kali, Nali, wear Old Bus Depot road, \$WSS Mayun Complex, F-7, Ist Floor, Ramnagar, Hanamknona, Warangai. \*Lane Opp. \$85 Computers, Near RBI Building, Sector 14, Nanak Nagar, Jammu, 18US.

Navsari: 16,1st Floor, Shivani Park, Opp. Shankheswar Complex, Kaliawadi, Navsari, Gujarat - 396 445 \*Rohtak: 205, 2ND Floor, Blg. No. 2, Munjal Complex, Delhi Road, Rohtak, Haryana - 124001 \*Tirupati: Shop No: 6, Door No: 19-10-8, Opp to Passport Office, AlR Bypass Road, Tirupati, Andhra Pradesh - 517501 \*Kalyani: A - 1/50, Block - A, Dist Nadia, Kalyani, West Bengal - 741235 \*Bhuj: Data Solution, Office No:17, 1st Floor, Municipal Building, Opp Hotel Prince, Station Road, Bhuj, Gujarat - 370001 \*Solapur: Flat No 109, 1st Floor, A Wing, Kalyani Tower, 126 Siddheshwar Peth, Near Pangal High School, Solapur, Maharashtra - 413001

Junagadh: "Aastha Plus", 202-A, 2nd Floor", Sardarbag Road, Nr. Alkapuri, "Opp. Zansi Rani Statue, Junagadh, Gujarat - 362001 \*Ankleshwar: Shop No - F-56, First Floor, Omkar Complex, Opp Old Colony, Nr Valia Char Rasta, GIDC, Ankleshwar, Gujarat - 393002 \*Kollam: Kochupilamoodu Junction, Near VLC, Beach Road, Kollam, Kerala - 691001 \*Jhansi: Opp SBI Credit Branch, Babu Lal Kharkana Compound, Gwalior Road, Jhansi, Class Hells, Babu Lal Kharkana Compound, Gwalior Road, Jhansi, Class Hells, Babu Lal Kharkana Compound, Gwalior Road, Jhansi, Class Hells, Babu Lal Kharkana Compound, Gwalior Road, Jhansi, Class Hells, Babu Lal Kharkana Compound, Gwalior Road, Jhansi, Class Hells, Babu Lal Kharkana Compound, Gwalior Road, Jhansi, Class Hells, Babu Lal Kharkana Compound, Gwalior Road, Jhansi, Class Hells, Babu Lal Kharkana Compound, Gwalior Road, Jhansi, Class Hells, Babu Lal Kharkana Compound, Gwalior Road, Jhansi, Class Hells, Babu Lal Kharkana Compound, Gwalior Road, Jhansi, Class Hells, Babu Lal Kharkana Compound, Gwalior Road, Jhansi, Class Hells, Babu Lal Kharkana Compound, Gwalior Road, Jhansi, Class Hells, Babu Lal Kharkana Compound, Gwalior Road, Jhansi, Class Hells, Gwalior Road, Jhans Uttarpradesh - 284001 Aligarh: City Enclave, Opp. Kumar Nursing Home, Ramghat Road, Aligarh, Uttar Pradesh - 202001 Satara: 117 / A / 3 / 22, Shukrawar Peth, Sargam Apartment, Satara, Maharashtra - 415002 Kumbakonam: Jailani Complex, 47, Mutt Street, Kumbakonam, Tamil Nadu - 612001 Bhagalpur: Krishna, I Floor, Near Mahadev Cinema, Dr.R.P. Road, Bhagalpur, Bihar - 812002 Bareilly: F-62-63, Butler Plaza, Kumbakonam: Jaliahi Complex, Grivil Lines, Bareighi, Uttar Pradesh - 243001 Akola: Opp. RIT Science (Jeeg, Civil Lines, Akola, Maharashtra - 444001 Yamuna Nagar: Haryana - 135 001 Deoghar: S S M Jalan Road, Ground floor, Opp. Hotel Ashoke, Caster Town, Deoghar, Jharkhand - 814112 Karimnagar: HNo.7-1-257, Upstairs S B H, Mangammathota, Karimnagar, Telangana - 505 001 Kadapa: Bandi Subbaramaiah Complex, D.No:3/1718, Shop No: 8, Raja Reddy Street, Kadapa, Andhra Pradesh - 516 001 Shimla: Hloor, Opp. Panchayat Bhawan Main gate, Bus stand, Shimla, Himachal Pradesh - 171001 Kannur: Room No.14/435, Casa Marina Shopping Centre, Talap, Kannur, Kerala - 670004 Mehsana: 1st Floor, Subhadra Complex, Urban Bank Road, Mehsana, Gujarat - 384 002 Hazaribag; Municipal Market, Annanda Chowk, Hazaribag, Jharkhand - 825301 Anantapur: 15-570-33, IFloor, Pallavi Towers, Subash Road, Opp: Canara Bank, Anantapur, Andhra Pradesh - 515 001 Kurnoo! H.No. 43/8, Upstairs Uppini Arcade, NR Peta, Kurnool, Andhra Pradesh - 518 004 Hisar: 12, Opp. Bank of Baroda, Red Square Market, Hisar, Haryana - 125001 Sri Ganganagar: 18 L Block, Sri Ganganagar, Rajasthana - 335001 Bhatinda: 2907 GH, GT Road, Near Zila Parishad, Bhatinda, Punjab - 151001 Shimoga: Nethravathi, Near Gutti Nursing Home, Kuvempu Road, Shimoga, Karnataka - 577 201 Palakkad: 10 / 688, Sreedevi Residency, Mettupalayam Street, Palakkad, Kerala - 678 001 Margao: Virginkar Chambers, I Floor, Near Kamath Milan Hotel, New Market, Near Lily Garments, Old Station Road, Margao, Goa - 403 601 Karur: 126 G, V.P.Towers, Kovai Road, Basement of Axis Bank, Karur, Tamil Nadu - 639002 Bikaner: F4, 5 Bothra Complex, Modern Market, Bikaner, Rajasthan - 334001 Kakinada: No. 33-1, 44 Sri Sathya Complex, Main Road, Kakinada, Andhra Pradesh - 533 001 Bilaspur: 2nd Floor, Gwalani Chambers, St Xavier School Road, "Front of CIT (Income Tax) Office, Vyapar Vihar, Bilaspur, Chattisgarh - 495 001 Vapi: 208, 2nd Floor, HEENA ARCADE, Opp. Tirupati Tower, Near G.I.D.C. Char Rasta, Vapi, Gujarat - 396195 Ambala: Opp: Peer, Bal Bhavan Road, Ambala, Haryana - 134003 Agartala: Advisor Chowmuhani (Ground Floor), Krishnanagar, Agartala, Tripura - 799001 Saharanpur: I Floor, Krishna Complex, Opp. Hathi 390195 Ambala: Opp. Peer, Bai Bhavan Road, Ambala, Haryana - 134003 Agartala: Advisor Cnowmunani (Ground Floor), Rishnanagar, Agartala, Inpura - 799001 Saharanpur; Hisor, Rishnanagar, Agartala, Rishnanagar, Rishnanagar, Rishnanagar, Rishnanagar, Ri Bharat Nagar, Shivaji Putla Road, Jalna, Maharashtra - 431 203 **Bharuch:** (parent: Ankleshwar TP), F-108, Rangoli Complex, Station Road, Bharuch, Gujarat - 392001 **Karna:!** (Parent: Panipat TP), 7, Ilnd Floor, Opp Bata Showroom, Kunjapura Road, Karnal, Haryana - 132001 **Mapusa:** (Parent ISC: Goa), Office no.CF-8, 1st Floor, Business Point, Above Bicholim Urban Co-op Bank, Angod, Mapusa, Goa - 403 507 **Nadiad:** (Parent TP: Anand Showroom, Kunjapura Road, Karnal, Haryana - 132001 Mapusa: (Parent ISC: Goa), Office no.CF-8, 1st Floor, Business Point, Above Bicholim Urban Co-op Bank, Angod, Mapusa, Goa - 403 507 Madiad: (Parent IP: Anand TP), F 142, First Floor, Ghantakarna Complex, Gunj Bazar, Nadiad, Gujarat - 387001 Bhusawal: (Parent: Jalgaon TP), 3, Adelade Apartment, Christain Mohala, Behind Gulshan-E-Iran Hotel, Amardeep Talkies Road, Bhusawal, Maharashtra - 425201 Unjha: (Parent: Mehsana), 10/11, Maruti Complex, Opp. B R Marbles, Highway Road, Unjha, Gujarat - 384 170 Gondal: (Parent Rajkot), A/177, Kailash Complex, Opp. Khedut Decor, Gondal, Gujarat - 360 311 Kestopur: S.D. Tower, Sreeparna Apartment, AA-101, Prafulla Kannan (West), Shop No .1M, Block - C(Ground Floor), Kestopur, West Bengal - 700101 Vasco: (Parent Goa), No DU 8, Upper Ground Floor, Behind Techoclean Clinic, Suvidha Complex, Near ICICl Bank, Vasco, Goa - 403802 New Delhi - CE: Flat no.512, Narian Manzil, 23, Barakhamba Road, Connaught Place, New Delhi - 110 001 Kolkata-CC: Flat no.512, Narian Manzil, 23, Barakhamba Road, Connaught Place, New Delhi - 110 001 Kolkata-CC: Flat no.512, Narian Manzil, 23, Barakhamba Road, Connaught Place, New Delhi - 110 001 Kolkata-CC: Flat no.512, Narian Manzil, 23, Barakhamba Road, Connaught Place, New Delhi - 110 001 Kolkata-CC: Flat no.512, Narian Manzil, 23, Barakhamba Road, Connaught Place, New Delhi - 110 001 Kolkata-CC: Flat no.512, Narian Manzil, 23, Barakhamba Road, Connaught Place, New Delhi - 110 001 Kolkata-CC: Flat no.512, Narian Manzil, 23, Prishna Encloave Complex, Near Hotel Matzni, Nagar-Aurangabada Road, Ahmednagar, Maharashtra - 414 001 Basti: Office no 3, Ist Floor, "Jamia Shopping Complex, (Opposite Pandey School), Station Road, Basti, Uttar Pradesh - 272002 Chhindwara: Shop No. 01, Near Puja Lawn, Prarasia Road, Chhindwara, Madhya Pradesh - 480 001 Chittorgarh: 3 Ashok Nagar, Near Heera Vatika, Chittorgarh, Rajasthan - 312001 Darbhanga: Shahi Complex, Ist Floor, Near RB Memorial hospital, VI.P. Road, Benta, Near Laheriasarai, Darbhanga, Bihar - 846001 **Dharmapuri**: 16A/63A, Pidamaneri Road, Near Indoor Stadium, Dharmapuri, Tamil Nadu - 636 701 **Dhule**: H. No. 1793 / A, J.B. Road, Near Tower Garden, Dhule, Maharashtra - 424 001 **Faizabad**: Amar Deep Building, 3/20/14, Ilnd floor, Niyawan, Faizabad, Uttar Pradesh - 224001 **Gandhidham**: S-7, Ratnakala Arcade, Plot No. 231, Ward – 12/B, Gandhidham, Gujarat - 370 201 **Gulbarga**: Pal Complex, 1st Floor, Opp. City Bus Stop, SuperMarket, Gulbarga, Karnataka - 585 101 Haldia: 1st Floor, New Market Complex, "Durgachak Post Office, Purba Medinipur District, Haldia, West Bengal - 721 602 Haldwani: Durga City Centre, Nainital Road, Haldwani, Uttarakhand - 263139 Himmatnagar: D-78 First Floor, New Durga Bazar, Near Railway Crossing, Himmatnagar, Gujarat - 383 001 Hoshiarpur: Near Archies Gallery, Shimla Pahari Chowk, Hoshiarpur, Punjab - 146 001 **Hosur**: No.9/2, 1st Floor, Attibele Road, HGF Post, Behind RTO office, Mathigiri, Hosur, Tamil Nadu - 635 101 **Jaunpur**; 248, Fort Road, Near Amber Hotel, Jaunpur, Uttarpradesh - 22001 **Katni**: st Floor, Gurunanak dharmakanta, Jabalpur Road, Bargawan, Katni, Madhya Pradesh - 483 501 **Khammam**: Shop No: 11 - 2 - 31/3, 1st floor, Philips Complex, Balajinagar, Wyra Road, Near Baburao Petrol Bunk, Khammam, Telangana - 507 001 **Malda**: Daxhinapan Abasan, Opp Lane of Hotel Kalinaga, SM Pally, Malda, West Bengal - 732 101 **Manipal**: Basement floor, Academy Tower, Opposite Corporation Bank, Manipal, Karnataka - 576104 **Mathura**: 159/160 Vikas Bazar, Mathura, Uttarpradesh - 281001 **Moga**: Gandhi Road, Opp Union Bank of India, Moga, Punjab - 142001 **Namakka**: 1564/1, First Floor, Lakshmi Vilas Building, Opp. To District Registrar Office, Trichy Road, Namakkal, Tamil Nadu - 637001 **Palanpur**: 3rd Floor, T - 11, Opp.Goverment Quarters, College Road, Palanpur, Gujarat - 385001 **Rae Bareli**: 17, Anand Nagar omplex, Rae Bareli, Uttar Pradesh - 229001 **Rajapalayam:** No 59 A/1, Railway Feeder Road, Near Railway Station, Madhya Pradesh - 457001 **Ratnagiri**: Kohinoor Complex, Near Natya Theatre, Nachane Road, Ratnagiri Maharashtra - 415 639 **Roorkee:** 22, Civil Lines Ground Floor, Hotel Krish Residency, Roorkee, Uttarakhand - 247667 **Sagar:** Opp. Somani Automobiles, Bhagwanganj, Sagar, Madhya Pradesh - 470 002 **Shahjahanpur:** Bijlipura, Near Old Distt Hospital, Jail Road, Shahjahanpur, Uttar Pradesh - 242001 **Sirsa:** Bansal Cinema Market, Beside Overbridge, Next to Nissan Car Showroom, Hissar Road, Sirsa, Haryana - 125055 **Sitapur:** Arya Nagar, Near Arya Kanya School, Sitapur, Uttar Pradesh - 261001 **Solan:** 1st Floor, Above Sharma General Store, Near Sanki Rest House, The Mall Solan, Himachal Pradesh - 173 212 **Srikakulam:** Door No 4-4-96, First Floor, Vijaya Ganapathi Temple Back Side, Nanubala Street, Srikakulam, Andhra Pradesh - 532 001 **Sultanpur**: 967, Civil Lines, Near Pant StadiumM Sultanpur, Uttar Pradesh - 228 001 **Surendranagar**: 2 M I Park, Near Commerce College, Wadhwan City, Surendranagar, Gujarat - 363035 **Tinsukia**: Dhawal Complex, Ground Floor, Durgabari, Rangagora Road, Near Dena Bank, Tinsukia, Assam - 786125 **Tuticorin**: 4B/A16, Mangal Mall Complex, Ground Floor, Mani Nagar, Tuticorin, Tamil Nadu - 628 003 **Ujjain:** 123, 1st Floor, Siddhi Vinanyaka Trade Centre, Saheed Park, Ujjain, Madhya Pradesh - 456 010 Yavatmal: Pushpam, Tilakwadi, Opp. Dr. Shrotri Hospital, Yavatmal, Maharashtra - 445 001.



IIFL Mutual Fund Customer Service Centers and Official Points of Acceptance	Registrar and Transfer Agent	CMS Collection Bankers
IIFL Asset Management Ltd.	Computer Age Management Services Private Limited (CAMS)	HDFC Bank Limited
Registered Office:	Registered Office:	Registered Office:
IIFL Centre, 6th Floor, Kamala City, S.B. Marg,	New No. 10, Old No. 178, M.G.R. Salai,	HDFC Bank House, Senapati Bapat Marg,
Lower Parel, Mumbai - 400 013	Nungambakkam, Chennai - 600 034	Lower Parel, Mumbai - 400 013
www.iiflmf.com	www.camsonline.com	www.hdfcbank.com